Privacy Policy

Effective: October 28, 2026
Owner: Adam Blumberg (CCO) + Nick Rygiel (CTO/CISO)

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Privacy Policy

Classification: Public. Served at protocolwealthllc.com/privacy. A notice of current practice, not a contract — never pinned. Prior versions are kept as part of the firm's records.

Protocol Wealth, LLC

Effective / Last Revised: October 28, 2026 **Owner:** Adam Blumberg, Chief Compliance Officer

This document is identified by its effective date, not a sequential version number. Last updated October 28, 2026; change reference v3.26. Prior versions are kept as part of the firm's records.

This notice explains, in one place, what personal information Protocol Wealth collects, why, who receives it, how we protect it, and the choices you have. You should not need any other document to understand it. Where a detail changes often, such as the full list of service providers, we also keep it at protocolwealthllc.com/subprocessors.

What changed in this version. We describe outside investments you enter in your client portal, how they are labeled and counted, and that they never affect our fees. We also describe how long we keep documents you upload through your client portal, including files kept in an archive for at least seven years. We also describe real estate, other income and credit reports you can add in your client portal, how a property's value may be estimated, and that we treat credit history and health information a disability benefit can reveal as sensitive.

FACTS: What Does Protocol Wealth, LLC Do With Your Personal Information?

Why?

Protocol Wealth collects and develops personal information about clients, and some of that information is non-public personal information (Customer Information). The essential purpose for collecting Customer Information is to provide and service the appropriate financial products and services clients obtain from Protocol Wealth.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and **income**
- **account balances** and **transaction history**
- **assets** and **credit card or other debt**

When you are no longer our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Protocol Wealth chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Protocol Wealth share?	Can you limit this sharing?
For our everyday business purposes — such as to process your transactions, maintain your account(s), respond to court orders and legal investigations	Yes	No
For our compliance with rules and regulations — information about your transactions and communications provided to non-affiliated firms when required	Yes	No
For our marketing purposes — to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes — information about your transactions and experiences	No	We don't share
For our affiliates' everyday business purposes — information about your creditworthiness	No	We don't share
For our affiliates to market to you	No	We don't share
For nonaffiliates to market to you	No	We don't share

Who We Are

Who is providing this notice? Protocol Wealth, LLC — an SEC-registered investment adviser (CRD #335298).

Why Can't I Limit All Sharing?

Federal law gives you the right to limit only:

- Sharing for affiliates' everyday business purposes — information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

How Do I Limit Sharing?

If you choose to opt out now, at any time in the future, or wish to withdraw your opt out request, contact us at privacy@protocolwealthllc.com.

The Information We Collect

What we collect depends on the services in your advisory agreement. It can include:

- **What you tell us** on questionnaires and in conversations with your adviser: household details, income, spending, investment objectives, goals, assets and liabilities, and copies of statements.
- **What we need to open and run your accounts:** Social Security or taxpayer identification number, investment experience, assets, income and account balances.
- **Data from accounts you choose to link** (see "Third-Party Financial Data Services"): balances, up to 24 months of transaction history, and investment holdings and transactions.
- **Data from the custodians that hold your advised accounts,** such as Charles Schwab: account names and numbers, registration and account type, mailing address where the custodian supplies it, positions, balances, transactions, cost basis, and realized gain or loss.
- **Outside investments you tell us about,** such as private equity, venture capital or special-purpose vehicle holdings: what you enter in your client portal, by form or by importing a CSV file (each holding's name, type, amounts committed and paid in, value and as-of date), and any statement or K-1 you or your adviser provide for it. Values you enter are labeled "Client entered" wherever they appear, including your portal, your adviser's tools and your unified holdings statement, and they count toward your portfolio totals with that label. A K-1 figure is a tax-basis amount and is kept out of totals. Your financial plan uses the values as you entered them, with the same label. They never affect the fees we charge, and our fee statements and your custodian's statements do not include them. A statement you upload is stored in the folder for outside investments we keep for you in our document storage (Google

Workspace), where AI may read values from it as described under "Use of AI Tools and Data Privacy". Values our AI reads from a statement or K-1 you upload are labeled "Unverified" until your adviser confirms or corrects them, as described under "Use of AI Tools and Data Privacy".

- **Real estate you tell us about** in your client portal: for each property, a name you give it, how you use it, its address, how it is titled, its current value or its purchase price and date, any mortgage on it, and, for a rental, its rent and expenses. Values you enter are labeled "Client entered". If you give a purchase price and date instead of a current value, we estimate its value, where the index covers the property's area, from the Federal Housing Finance Agency's public House Price Index, labeled "Estimated (home price index)"; a value you enter always replaces the estimate. These values never affect the fees we charge. We do not send a property's address to any AI model.
- **Other income you tell us about** in your client portal, such as Social Security, a pension, VA disability compensation, an annuity, royalties, and gifts you receive or give: the amount, how often, the start and end dates, any yearly increase, whether it is taxable, and any short name you give it. These are labeled "Client entered", and your adviser and your financial plan use them.
- **A credit report you choose to upload** through your client portal, which you get yourself, for example from AnnualCreditReport.com. It can show your accounts, balances, payment history, credit inquiries and identifying details. We do not obtain credit reports about you, and we do not ask for your Social Security number for this.
- **Work we produce for you:** financial plans, analyses, and records of advisory transactions.
- **Identity verification,** only if your adviser turns it on for you: a government ID and selfie checked by Veriff, together with Veriff's politically-exposed-person and sanctions screening result.
- **Records of how you use our portals,** such as sign-ins and security events.

Some of this is **sensitive personal information**: government identifiers such as Social Security and taxpayer identification numbers; financial account numbers and the credentials or tokens used to reach an account; detailed financial data such as balances, holdings, transactions, debts and credit history; and information about your health that a disability benefit you tell us about can reveal. We use it only to provide our services, to meet our legal and regulatory obligations, and for other purposes the law permits. We do not use it to infer characteristics about you, and we do not sell it.

Signing in with Google

You can sign in to your client portal at pwportal.app with your Google account. When you do, Google gives us your Google account ID, your email address and confirmation that Google has verified it, your name, and your profile picture. We ask Google only for these basic sign-in details. We receive no access to your Gmail, Google Drive, contacts, calendar or any other Google data, and we keep no access to your Google account after you sign in.

- We use your email address to find your client record and sign you in. We save your Google account ID with your client record so that this Google account cannot be used to sign in to anyone else's portal.
- If you start an application for a new account while signed in with Google, we also save your email address and Google account ID with that application, and we keep that application record even if the account is not opened.
- If your email address is not on your portal's access list, sign-in is refused, and we keep only a log entry noting your email address's domain.
- We use your name and picture only to show who is signed in. They are carried in your sign-in session and are not saved in our records.
- We record each sign-in with Google in our audit log: the entry shows which client record it was, that Google was used, and your email address's domain, not your full email address or Google account ID.
- We protect this information as described under "How Does Protocol Wealth Protect My Information?".
- We do not sell this information or use it for advertising. We share it only with the service providers that host and run the portal for us, and where the law requires, as described under "How We Share Information with Third Parties".
- We keep your Google account ID, and any application record, for the period described under "Data Retention for Advisory Services".
- You can remove the portal's connection to your Google account at any time in your Google Account, under "Your connections to third-party apps & services". This does not delete what we have already saved. You can still sign in to the portal with a link we email you. To ask us to delete your information, see "Your Data Rights".
- Our use and transfer of information we receive from Google APIs follows the Google API Services User Data Policy, including its Limited Use requirements.

Use of AI Tools and Data Privacy

We use AI tools to help with research, analysis, portfolio monitoring, document preparation and administration, under what we call our co-intelligence framework: AI and advisers check each other's work, and the fiduciary judgment stays with your adviser. AI does not replace your adviser, does not make final investment decisions, does not set your fees or account access, and does not override your adviser's judgment.

An adviser reviews material AI-assisted work before it reaches you or influences a recommendation made on your behalf, with three exceptions:

1. **The AI assistant in your client portal** (a limited beta). Its answers to your own questions are not reviewed by an adviser first and can be wrong. It answers questions about your own accounts, balances, transactions and risk profile, can do illustrative planning calculations, and can pass a planning note to your advisory team. It does not give investment, tax or financial advice, trade, move money, or change your accounts. Before your message is sent, it replaces email addresses, U.S. phone numbers and Social Security numbers with placeholders.

It does not remove names, so we suggest using initials or a relationship ("my spouse"). Your conversations are saved so you can return to them, and are kept as part of our books and records. Its use is also governed by our AI Acceptable Use Policy.

2. **Values read from documents you or your adviser provide.** When you or your adviser place a statement or K-1 for an outside investment in the document folder we set up with you for outside investments, AI reads values from it: the investment's name, type, value and as-of date, and other figures the document reports. These values may appear to you before an adviser reviews them. Each one is labeled "Unverified" until an adviser confirms or corrects it. We use the latest statement; a K-1 figure appears only as a fallback, labeled "K-1 capital account (tax basis)" with its as-of date, and never as a market value. No returns or performance are calculated from these values, and no advice accompanies them. Choosing whether these values appear in your view changes only what you see; it does not change fee billing or statements. The document's text is read from the PDF and is not kept by the AI provider.
3. **AI Suggestions in your client portal**, if you turn it on. Its suggested labels and rules for your own bank transactions are not reviewed by an adviser first and can be wrong. Nothing changes until you confirm a suggestion. A label you confirm counts only in your own portal views (Transactions, Cash Flow and the AI Assistant). It never changes our fees or your statements, and it changes your financial plan only if an adviser adopts it. You can undo a label or turn off a rule.

Preferences you bring from your own AI assistant. In your client portal you can choose to paste a summary of your preferences that you got from an AI assistant you use yourself. It is off unless you turn it on. Our software reads what you paste; no AI model does. If we find what looks like a Social Security number, card number, email address or U.S. phone number, we refuse all of it and keep nothing. Otherwise we keep only the preferences we recognize: how much explanation you want, whether figures are shown, up to three learning topics, and up to five goals (a name, an amount and a year), which become goals in your portal like any you enter. We also keep a record of each change you apply, skip or undo, and the setting it replaced. We do not keep the text you paste or anything in it we don't use. Goals you apply appear to your adviser like any goal you enter. We keep these records for at least five years. The AI assistant you used is a service you chose, under its own terms; we receive only what you paste.

Which AI providers receive client information:

- **Anthropic (Claude)** is our main AI provider. It runs advisor chat and research, advisers' review of agreements, the portal assistant, AI Suggestions in your client portal, the reading of statements and K-1s described above, and the sorting of email sent to the firm's inbound addresses that our rules cannot sort (the sender's name and address, the subject, and up to about 2,000 characters of the body). It operates under a zero-data-retention agreement: it does not train on our data, and otherwise does not keep what we send or what it returns beyond the request. Under those terms, Anthropic may keep content its safety systems flag, or that the law requires it to keep, for up to two years. Inference runs only in the United States.

  - **AI Suggestions** is off unless you turn it on, and you can turn it off. Turning it off stops new suggestions; labels and rules you confirmed keep applying until you undo or turn them off. When it is on, Claude suggests labels for your own bank transactions: a move between your own accounts, income, a possible duplicate, or a spending category. It also suggests rules that would apply such a label to similar transactions. It receives each transaction's description, merchant name and bank category, whether it is money in or out, the type of account, and how it is currently grouped, from your linked bank data. Recognized identifiers are replaced first; names are not removed. Nothing changes until you confirm a suggestion. An adviser does not review the suggestions before you see them.
- **SpaceXAI LLC (SpaceX) — xAI / Grok** is a model an adviser can choose in advisor chat. That conversation can include client information. It operates under zero data retention, which our systems check on every response; a response without that confirmation is discarded. The check happens when the response arrives, after the request has been sent. Requests go only to its U.S. endpoints. xAI states that it does not train on our inputs or outputs without permission. Its Trust Center lists an ISO/IEC 27001:2022 certificate and a SOC 2 Type 2 report covering its Grok API; we have viewed that report there but have not obtained a copy for our files. Grok is also used for drafting the firm's own marketing material and for work on our own source code, neither of which involves client information.
- **Google (Gemini)** is used for image and graphics generation for advisers, and for search indexing of documents added to advisor chat: the text is split into passages and sent to Google's model, and the numeric index that comes back is kept so an adviser can find a passage later. These requests go through Google Cloud's Vertex AI at U.S. endpoints under our Google Cloud agreement, which bars Google from training on our data.
- **OpenAI** drafts the firm's own non-client material and works on our source code. It receives no client information, because we have not arranged zero data retention with it.

We do not currently operate self-hosted AI models. If external zero-data-retention terms change, we may deploy self-hosted alternatives, and we will update this policy before doing so.

What we do before client information reaches an AI provider. Our systems replace identifiers they recognize, such as Social Security numbers, account numbers, tax identification numbers, email addresses, phone numbers, addresses and dates of birth, with placeholders. This works by recognizing common formats, so it is not complete, and it does not remove names. It does not cover PDF and image files attached in advisor chat, which are sent as files, or an agreement an adviser submits for review, which is sent as written; the list at protocolwealthllc.com/subprocessors describes each case. A statement or K-1 you or your adviser provide is different: its text is read from the PDF and scanned in the same way before it is sent, so the same limits apply, and a document with no readable text is not sent at all; a person reviews it instead.

We keep records of AI-assisted work, and we never sell or share AI outputs that include your information for purposes unrelated to serving you.

How We Share Information with Third Parties

We share Customer Information with custodians, financial institutions, other investment advisers where your agreement provides for one, and the service providers below, only to run your accounts and our business, to comply with law, and as described in the table above. **We do not sell client information. We do not share it with anyone for their own marketing. Our service providers may use it only to provide services to us.** We may also disclose information where the law requires or permits, for example in response to a subpoena or a regulatory examination.

The service providers that receive client information:

Custody, trading, banking and billing

- **Charles Schwab & Co., Inc.** — qualified custodian for advisory accounts on Schwab Advisor Services; holds the assets, executes trades, and produces official statements.
- **Performance Technologies, Inc. d/b/a Schwab Intelligent Technologies** — operates Schwab OpenView Gateway; the account data we request is copied into its database before delivery. It is a separate company from Charles Schwab & Co., Inc.
- **Nexhelm AI, Inc.** — delivers the Schwab account data for the accounts we advise into a read-only daily package in a shared drive we control. It stores the data in the United States and states that no AI model is used in this service.
- **Bridge Financial Technology, Inc. (BridgeFT)** — prepares that Schwab data for Nexhelm under the data-access request we submitted. It is engaged by Nexhelm; we have no agreement with it. It is a U.S. company; we have not confirmed where it processes the data.
- **Altruist Financial LLC** — custody and advisory billing.
- **Interactive Brokers LLC** — brokerage.
- **Anchorage Digital Bank** — qualified digital-asset custodian (federally chartered).
- **FalconX** — digital-asset trading, where Anchorage Digital Bank remains the custodian.
- **Fordefi** — multi-party computation (MPC) wallet infrastructure for onchain holdings.
- **Coincover** — an encrypted backup of Fordefi key material, for clients who choose to use it; only the client can receive the decryption key.
- **Mercury** — the firm's business bank; fees clients pay us directly arrive there, and some clients' fees are invoiced through it.
- **Stripe, LLC** — card payments for client invoices and monthly retainers. We send Stripe your name and email address, and the amount and description of each invoice or retainer. You enter your card details only on Stripe's own payment pages, and we never receive them. Stripe's own privacy policy also applies to what it collects on those pages, including its use of payment information to prevent fraud.

Planning and account linking

- **RightCapital, Inc.** — financial planning platform; the information a plan is built from is held there, and your written plan may be delivered through it.
- **Quiltt, Inc.**, with **MX Technologies, Inc.**, **Finicity, Inc. (a Mastercard company)** and **FinGoal** — account linking (see "Third-Party Financial Data Services").

AI services — Anthropic, SpaceXAI LLC (SpaceX) — xAI / Grok, and Google (see "Use of AI Tools and Data Privacy").

Technology, identity and communications

- **Google Cloud and Google Workspace** — hosting, databases, file storage, email, documents and identity.
- **Cloudflare** — website delivery and network security.
- **Veriff** — identity verification, only when your adviser turns it on.
- **Hadrius** — our compliance platform, which holds our compliance records and our email and social-media archives.
- **Wealthbox** — client relationship management.
- **Postmark** — system email, newsletters and webinar mail, and email sent to our inbound addresses.
- **DocuSign, Inc.**, through Charles Schwab's integration — electronic signature of client agreements.

We review these providers before we use them and oversee them under a written program. The current list, with more detail on each, is at protocolwealthllc.com/subprocessors and is available on request.

Sharing within your household. If you are part of a household we serve, you can let another member see your accounts and their values in their portal view. You can start or stop this at any time from the Sharing card in your portal, or ask your adviser to, who will record it. Sharing is one-way: the member you choose can see your accounts but cannot act on them, and you do not see theirs unless they choose to share. It ends automatically when either of you leaves the household. We keep a record of each choice.

Third-Party Financial Data Services

Advisory clients can link accounts at other financial institutions through the client portal, using Quiltt with MX or Finicity for the connection and FinGoal to clean up transaction data. Prospects and website visitors cannot use this feature.

- When you link an account, we send Quiltt your **name and email address** and nothing else from your profile.
- We receive balances, up to **24 months** of transactions, and investment holdings and transactions.
- You sign in to your institution through the provider's secure screen. **We never receive or store your banking login.**
- You can disconnect any linked account at any time in the client portal or by asking your adviser.

Linked-account data is encrypted, used only to provide advisory services, never sold, and kept under the retention rules in "Data Retention for Advisory Services".

PW Nexus API and MCP Server

Protocol Wealth operates PW Nexus (nexusmcp.site), a research API and Model Context Protocol (MCP) server with investment analysis tools, market data and portfolio analytics. If you use it, we collect:

- **Sign-in data:** email address, sign-in tokens and session identifiers. Tokens are encrypted in transit and stored with standard security controls.
- **Usage logs:** which tools and endpoints you call, when, from which IP address, and related request details, used for rate limits, abuse prevention and reliability.
- **What you ask:** ticker symbols, wallet addresses and other inputs, which may be cached briefly (from 30 seconds to 24 hours) to return results faster.

We use it to run and improve the service, enforce rate limits, prevent abuse, fix problems, and produce aggregate statistics that identify no one. We do not sell this data, build marketing profiles from it, or share non-client usage data except as the law requires. Sign-in tokens last for the session or until revoked. Routine access logs are deleted automatically after a short period (currently 30 days); interactions by clients that relate to advice are kept for at least five years as required records.

If you connect to PW Nexus through another company's AI assistant, your questions and our answers pass through that company's systems, and its own privacy policy applies. We filter our responses for client personal information, but **please do not send account numbers, Social Security numbers or detailed financial data through third-party AI assistants.** Use the client portal (pwportal.app) or contact your adviser instead.

How Does Protocol Wealth Protect My Information?

- Encryption in transit (TLS 1.2 or higher) and at rest (AES-256).
- Multi-factor authentication and role-based access, so only authorized staff can reach client data.
- Each client's data is separated from every other client's at the database level.
- Documents you upload through the portal are held in quarantine and scanned for malware before your adviser can open them; a document that fails is not released.
- An append-only audit trail of actions that create, change or delete client data.
- Our primary systems store client data in the United States, in Google Cloud U.S. regions, and new systems can be created only in U.S. locations. Some service providers process data outside the United States or have not confirmed where; the list at protocolwealth-llc.com/subprocessors says which.
- An incident response program, and ongoing review of the service providers who handle client information.

If a breach affects you. If your sensitive information is accessed without authorization, we will notify you as soon as practicable, and no later than 30 days after becoming aware of the incident, unless, after a reasonable investigation, we determine it has not been and is not reasonably likely

to be used in a way that would cause substantial harm or inconvenience, as SEC Regulation S-P provides.

Data Retention for Advisory Services

- **Advisory records** required by Investment Advisers Act Rule 204-2: at least five years from the end of the fiscal year of the last entry, the first two years in an easily accessible place.
- **Audit logs**, which record who took an action, what changed, and, where available, the IP address and device used, with sensitive values such as account and Social Security numbers masked: at least seven years.
- **Sign-in and application records**, such as a Google account ID linked to your client record and the email address and Google account ID saved with an application: at least seven years from when they are first saved, unless you ask us to remove them and the law does not require us to keep them.
- **Email and document-signing records:** at least five years where they are required records; our systems currently keep them for seven.
- **Documents you upload through your client portal** are kept in your document folder as part of your records. Some uploaded files may also be kept for at least seven years in an archive and cannot be deleted until then.
- **Identity verification and sanctions screening records:** as the provider's settings and our compliance obligations require.
- **AI-assisted work** that becomes part of an advisory record: kept with that record.

After the required period we may delete or anonymize the information. Data with no client identifiers, such as aggregate statistics, may be kept longer.

Cookies and Tracking Technologies

We use only the cookies needed to run our sites securely: sign-in and session cookies on the client and advisor portals (pwportal.app, pwos.app), and short-lived security cookies set by Cloudflare on our public website. We do not use advertising, retargeting or cross-site tracking. Because these cookies are strictly necessary, there is no consent banner; you can block cookies in your browser, but the portals will not work without them. If we ever add analytics or other non-essential tracking, we will update this notice first and honor the choices the law requires, including Global Privacy Control signals where required.

Recording and Transcription of Client Meetings

We do not record or transcribe a client meeting without telling you in advance and getting the participants' consent. You can decline recording at any time.

Your Data Rights

You can ask us to:

- **give you a copy** of the personal information we hold about you;
- **correct** information that is wrong;
- **delete** your information, except records the law requires us to keep and files held in an archive as described under "Data Retention for Advisory Services";
- **disconnect** a linked account, or **revoke** API keys and sign-in tokens;
- **explain the human review** behind any AI-assisted output that affected your account, and **tell you which AI tools** were involved in an analysis or recommendation;
- **keep your information out of external AI services**, including any added later. We will tell you which services remain available and any effect on timing or cost, and we will not penalize you for choosing this;
- **not use AI at all** for work on your account, as far as feasible; we will discuss the scope with you.

To make a request, contact privacy@protocolwealthllc.com. We respond to verified requests as promptly as we can.

California residents. Most of what we hold about advisory clients is financial information covered by the Gramm-Leach-Bliley Act and governed by this notice, not by the California Consumer Privacy Act. The CCPA/CPRA does apply to information we collect from California prospects and website visitors outside that relationship, for example through contact forms, diagnostics and risk questionnaires. For that information you have the right to know what we collected, where it came from, why, and who received it; to delete or correct it; to opt out of its sale or sharing for cross-context behavioral advertising; and not to be treated differently for using these rights. We do not sell personal information or share it for cross-context behavioral advertising, so there is nothing to opt out of today. Where required, we honor Global Privacy Control signals. You or an authorized agent can make a request at privacy@protocolwealthllc.com; we will verify it, respond within the time the law requires, and will not require you to create an account.

International Clients and Cross-Border Data Processing

We serve a limited number of clients outside the United States, for example in Singapore and the United Arab Emirates. If you are one of them:

- Our primary systems store and process your information in the **United States**, and it is subject to U.S. law, including lawful access by U.S. authorities. The United States may not provide the same privacy protections as your country. By entering into an advisory relationship with us, you consent to this transfer.
- Some service providers process data outside the United States or have not confirmed where they do; the list at protocolwealthllc.com/subprocessors says which.
- Non-U.S. relationships are also subject to our sanctions screening, FATCA and, where applicable, CRS procedures, and to the non-U.S. terms of your advisory agreement.

Definitions

Affiliates: Companies related by common ownership or control. They can be financial and nonfinancial companies. Protocol Wealth does not share with affiliates.

Nonaffiliates: Companies not related by common ownership or control. They can be financial and nonfinancial companies. Protocol Wealth does not share with nonaffiliates so they can market to you.

Joint Marketing: A formal agreement between nonaffiliated financial companies that together market financial products or services to you. Protocol Wealth may enter into joint marketing agreements with other financial companies. **Protocol Wealth does not share your personal information with those companies for joint marketing purposes**, which is why the table above answers "No" for joint marketing.

Client: An individual or entity that has executed a written Investment Advisory Agreement with Protocol Wealth, LLC.

Prospect: A registered user of a Protocol Wealth digital property who has not executed an Investment Advisory Agreement.

Questions?

Contact privacy@protocolwealthllc.com, or write or call:

Protocol Wealth, LLC Attn: Chief Compliance Officer (Adam Blumberg) 201 Milwaukee St., Suite 200 Denver, CO 80206 Phone: 720-383-4550

Protocol Wealth, LLC | SEC-Registered Investment Adviser | CRD #335298

Form ADV | Form ADV Part 2A | Form CRS | Terms of Service | Subprocessors | Disclosures